

PATENT  
Customer No. 22,852  
Attorney Docket No. 08386.0003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )

Dennis W. WAHR et al. )

Application No.: 09/845,162 )

Filed: May 1, 2001 )

For: EMBOLI PROTECTION DEVICES )  
AND RELATED METHODS OF USE )

) Group Art Unit: 3763

) Examiner: Unknown

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Commissioner for Patents and Trademarks  
Washington, DC 20231

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the undersigned's knowledge this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

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documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

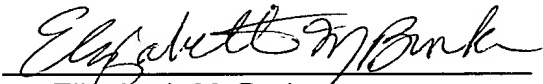
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 16, 2001

By:   
Elizabeth M. Burke  
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## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	08386.0003	Serial No.	09/845,162
Applicant	Dennis W. WAHR et al.		
Filing Date	May 1, 2001	Group:	3763

## U.S. PATENT DOCUMENTS

Examiner	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,784,636	11/15/88	Rydell	604	22	
	4,883,460	11/28/89	Zanetti	604	22	

## FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
0 427 429 A2	5/15/91	EPO	A61M	25/10	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Kachel, Reiner, M.D., "Results of Balloon Angioplasty in the Carotid Arteries," J. ENDOVASC SURG, 1996, 3:22-30.
Kachel, R., "Current Status and Future Possibilities of Balloon Angioplasty in the Carotid Artery," Connors, Wojak (Eds); <u>Interventional Neuroradiology Strategies and Practical Techniques</u> , Chapter 46, pp. 473-484, 1998.
Theron, Jacques, MD, "Cerebral Protection During Carotid Angioplasty," Letters to the Editors, J. ENDOVASC SURG, 1996:3:484-486.

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce